

FORM PTO-1390
(REV 10-94)

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTORNEY'S DOCKET NUMBER

TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. 371

20016.0002USWO

U.S. APPLICATION NO. (if known, see 37 C.F.R. 1.5)

UNKNOWN 10/585016

INTERNATIONAL APPLICATION NO.

PCT/CN2004/000670

INTERNATIONAL FILING DATE

22 JUNE 2004

PRIORITY DATE CLAIMED

29 DECEMBER 2003

TITLE OF INVENTION

AN OVERLAPPING COMMAND COMMITTING METHOD OF DYNAMIC CYCLE PIPELINE

APPLICANT(S) FOR DO/EO/US

ZHAO et al.

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(l).
4. ☐ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. ☒ is transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☒ has been transmitted by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US)
6. ☒ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
 - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☐ have been transmitted by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☒ have not been made and will not be made.
8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An unsigned oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).
10. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11. to 16. below concern document(s) or information included:

11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98 Form 1449
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A FIRST preliminary amendment.
☐ A SECOND or SUBSEQUENT preliminary amendment.
14. ☐ A substitute specification.
15. ☐ A change of power of attorney and/or address letter.
16. ☒ Other items or information: Application Data Sheet (4 pages), WO 2005/064481 , Form PCT/ISA/210

U.S. APPLICATION NO. (If known, see 37 C.F.R. 1.5) UNKNOWN 10/585016		INTERNATIONAL APPLICATION NO. PCT/CN2004/000670		ATTORNEY'S DOCKET NUMBER 20016.0002USWO	
BASIC NATIONAL FEE (37 CFR 1.492(a) (1)-(5)):					
[X] a) Basic National fee.....\$300.00				\$300.00	
[X] b) Examination fee.....\$200.00				\$200.00	
[X] c) Search fee.....\$500.00				\$500.00	
TOTAL OF ABOVE CALCULATIONS =				\$1000	
Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.					
Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof (round up to a whole number)	Rate		
15 - 100 =	0 /50 =		X \$250.00	\$0.00	
Surcharge of \$130.00 for furnishing the oath or declaration later than [] 20 [] 30 months from the earliest claimed priority date (37 CFR 1.492(e)).				\$0.00	
	CLAIMS (AS AMENDED)	NUMBER EXTRA	RATE		
Total claims	18 -20 =		X \$50.00	\$0.00	
Independent claims	1 -3 =		X \$200.00	\$0.00	
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$360.00	\$0.00	
TOTAL OF ABOVE CALCULATIONS =				\$1000	
Reduction by 1/2 for filing by small entity, if applicable. Small entity status is claimed pursuant to 37 CFR 1.27				\$0.00	
SUBTOTAL =				\$0	
Processing fee of \$130.00 for furnishing the English translation later than [] 20 [] 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				+ \$0.00	
TOTAL NATIONAL FEE =				\$1000	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property				+ \$	
TOTAL FEES ENCLOSED =				\$1000	
				Amount to be: refunded	\$0.00
				charged	\$0.00
<p>a. [X] Check(s) in the amount of \$1000 to cover the above fees is enclosed.</p> <p>b. [] Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees. A duplicate copy of this sheet is enclosed.</p> <p>c. [X] The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>50-3478</u>.</p>					
<p>NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.</p>					
<p>SEND ALL CORRESPONDENCE TO: DWIGHT N. HOLMBO Hamre, Schumann, Mueller & Larson, P.C. P.O. Box 2902-0902 Minneapolis, MN 55402</p>					
<p>SIGNATURE: <u>Dwight N. Holmbo</u></p> <p>NAME: Dwight N. Holmbo REGISTRATION NUMBER: 36,410</p>					

10/585016

UNITED STATES PATENT AND TRADEMARK OFFICE

AP20 Res'd PCT 29 JUN 2006

Applicant: ZHAO et al.
 Attorney Docket: 20016.0002USWO
 Title: AN OVERLAPPING COMMAND COMMITTING METHOD OF DYNAMIC
 CYCLE PIPELINE

CERTIFICATE UNDER 37 CFR 1.10

Express Mail mailing label number: EV 858800222 US

Date of Deposit: June 28, 2006

I hereby certify that the papers listed below are being deposited with the United States Postal Service Express Mail Post Office to Addressee service under 37 CFR 1.10 in an envelope addressed to: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

By: 

Name: ABBIE LARKIN

Mail Stop PCT
 Commissioner for Patents
 P.O. Box 1450
 Alexandria, VA 22313-1450

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PATENT TRADEMARK OFFICE

Commissioner:

The following papers are transmitted herewith:

- ☒ Transmittal sheet, in duplicate, containing Certificate Under 37 CFR 1.10;
- ☒ National Stage Patent Application including: Description - 8 pages; Claims - 2 pages; Abstract - 1 page; Drawings - 4 sheets (formal);
- ☒ Unsigned Combined Declaration and Power of Attorney;
- ☒ Application Data Sheet - 4 pages
- ☒ Check(s) for the amount of \$1000 to cover the filing fee
- ☒ Other: Preliminary Amendment; Form PCT/ISA/210; WO 2005/064481
- ☒ Return postcard

CLAIMS AS FILED

Number of Claims Filed	No.	In Excess of	Extra	Rate	Fee
Total Claims	18	20	0	50.00	0.00
Independent Claims	1	3	0	200.00	0.00
Multiple Dependent Claims Fee					0.00
Basic Filing Fee					300.00
Search Fee					500.00
Examination Fee					200.00
Application Size Fee	15	100	0	250.00	0.00
Total					1000.00

Please charge any additional fees or credit overpayment to Deposit Account No. 50-3478. A duplicate of this sheet is enclosed.

Hamre, Schumann, Mueller & Larson, P.C.
 P.O. Box 2902-0902 Minneapolis, MN 55402
 612.455-3800

By: 

Name: Dwight N. Holmbo
 Reg. No.: 36,410
 Initials: DNH:rkw

(PTO TRANSMITTAL - NEW FILING)